

Funding Requests

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What is the breakdown of “scheduled” funding versus projected available funding in the 2008 Highway Plan?

	<u>Scheduled</u>	<u>Projected Available</u>
■ State funds (SP)	\$3.6 billion	\$410 million
■ Federal-Aid	\$4.9 billion	\$4.75 billion
■ State Bond Funds (SPB)	<u>\$532 million</u>	<u>\$400 million</u>
■ Total	\$9 billion	\$5.6 billion

The federal-aid program includes the following:

	<u>Scheduled</u>	<u>Projected Available</u>
■ GARVEE Bonds	\$231 million	\$231 million
■ APD Program (80%)*	\$593 million	\$474 million
■ ARRA Federal Stimulus	\$442 million	\$368 million

*The 20% state match=\$119 million

In addition to the \$9 billion of scheduled projects, the 2008 Highway Plan identifies \$845 million of “innovative financing” (IF) funding for the Louisville Bridges project.

What is the current status of the state road fund cashflow?

- a) Desperate.
- b) Current road fund available is less than \$200 million.
- c) No SP project funding being authorized, unless an equal amount of SP funding can be released from a previously SP funded project.
- d) Projected expenditures for SP projects over the next 3 to 6 months are projected to exceed SP revenues by \$75 to \$100 million.

Step 1: Initial Funding Request

- Who?
 - Project Managers
- What?
 - Submit funding request form
- Where?
 - Submit to Central Office User Division
- When?
 - At the point in project that funding is required to start next phase
- Why?
 - To determine availability of funding and eligibility of funding

Step 2: Confirmation of Funding Request

- Who?
 - Central Office User Division
- What?
 - Reviews funding request form and submits funding request
- Where?
 - Submit to Division of Program Management
- When?
 - Central Office User Division concurs that project documents are completed for project to proceed into requested funding phase
- Why?
 - The Central Office User Division certification process

Step 3: Availability of Requested Funding

- Who?
 - Division of Program Management
- What?
 - Reviews funding request
- Where?
 - Submit to Authorization Review Team (ART) Committee
- When?
 - Availability, eligibility, certifications, and cashflow
- Why?
 - ART Committee reviews funding requests, cashflow, and determines if authorization of funding can be approved

Step 4: Authorization of Requested Funding

- Who?
 - ART Committee
- What?
 - TC10
- Where?
 - State Highway Engineer and Secretary of Transportation
- When?
 - Recommendation of ART Committee
- Why?
 - Signed/approved TC10/PR-1 required before funding can be entered into eMARS accounting system and before expenditures begin

Step 5: Notice of Approved Funding

- Who?
 - Division of Program Management provides notice of approved funding
- What?
 - Provides copy of TC10 and Federal PR-1
- Where?
 - Hard Copies submitted to user Divisions and electronic PDF copy emailed to District
- When?
 - After funding is entered into eMARS and available for expenditures
- Why?
 - Signed/approved TC10/PR-1 required before funding can be entered into eMARS accounting system and before expenditures begin

Step 6: Monitoring Project Funding

- Who?
 - Project Managers
- What?
 - Project expenditures and remaining available project funding
- Where?
 - Project Managers Toolbox or via eMARS
- When?
 - Frequently and consistently
- Why?
 - In order to know remaining available project funding

Step 7: Project Expenditures

- Who?
 - Project Managers and Payroll Clerks
- What?
 - Project expenditures via payments to vendors and payroll charges
- Where?
 - Project Managers Toolbox or via eMARS
- When?
 - Frequently and consistently
- Why?
 - In order to make sure that only eligible charges are being made to project

Step 8: Closeout Project

- Who?
 - Project Managers and Central Office User Division
- What?
 - Submit request to closeout project phase activities
- Where?
 - To Division of Accounts
- When?
 - Upon completion of project phase activities
- Why?
 - To release any remaining funds

The following instructions are for accessing the KYTC Project Managers Toolbox:

- 1. Go to KYTC Internet home page*
- 2. In the address bar highlight the address and type DOT*
- 3. You will access the KYTC IntraNet home page, and under the “Resources” block select “Program Management”*
- 4. This will take you to the Division of Program Management home page, and then select “Toolbox”*
- 5. This will take you to the “Project Managers Toolbox” application*

THANK YOU.

Step 1

Who?

- Project Managers

What?

- Submit funding request form

Where?

- Submit to Central Office User Division

When?

- At the point in project that funding is required to start next phase

Why?

- To determine availability of funding and eligibility of funding



Step 2

Who?

- Central Office User Division

What?

- Reviews funding request form and submits funding request

Where?

- Submit to Division of Program Management

When?

- Central Office User Division concurs that project documents are completed for project to proceed into requested funding phase

Why?

- The Central Office User Division certification process



Step 4

Who?

- ART Committee

What?

- TC10

Where?

- State Highway Engineer and Secretary of Transportation

When?

- Recommendation of ART Committee

Why?

- Signed/approved TC10 required before funding can be entered into eMARS accounting system and before expenditures begin



Step 3

Who?

- Division of Program Management

What?

- Reviews funding request

Where?

- Submit to Authorization Review Team (ART) Committee

When?

- Availability, eligibility, certifications, and cashflow

Why?

- ART Committee reviews funding requests, cashflow, and determines if authorization of funding can be approved